

A S P I

**AUSTRALIAN
STRATEGIC
POLICY
INSTITUTE**

2017–2021

CORPORATE PLAN

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Introduction to ASPI

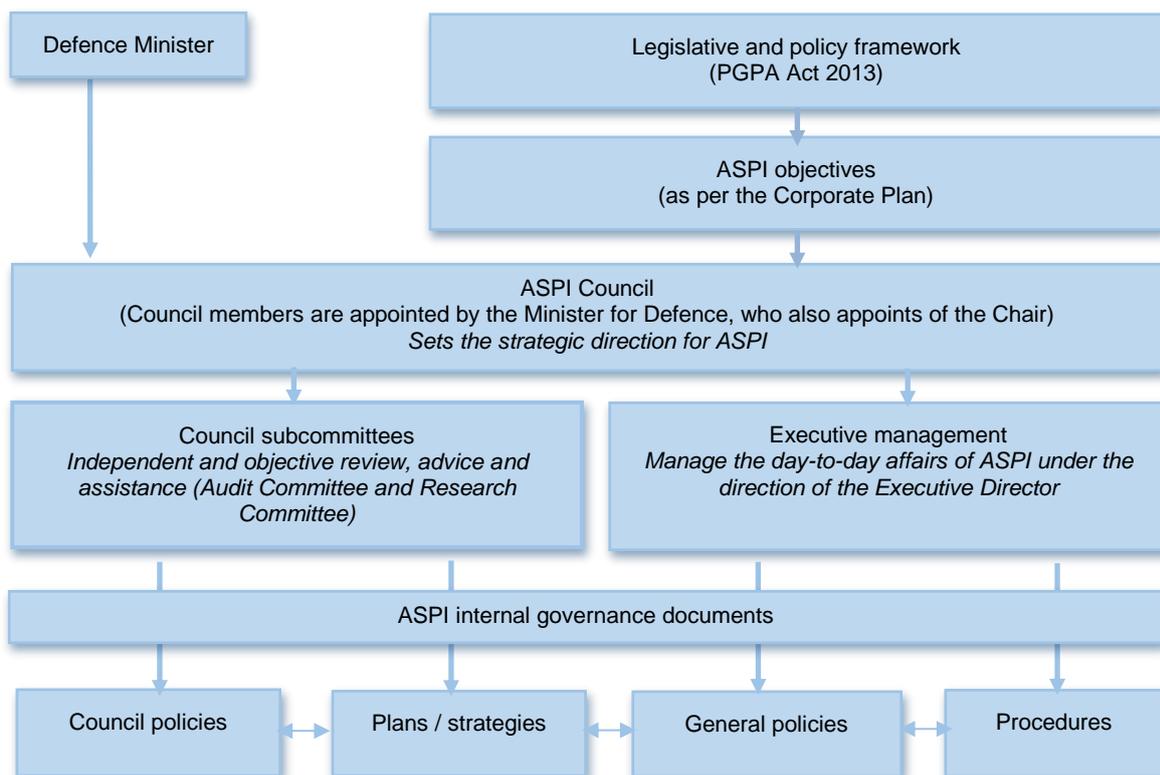
ASPI is an independent think tank focused on national strategic, defence and security issues. It was established by the Australian Government in 2001 to provide an alternative and contestable source of policy advice, operating independently and with a focus on policy-relevant research.

Since its inception, the institute has developed into one of the leading independent research bodies in Australia. ASPI is unique in the scope of its research, capacity, expertise and ability to independently engage across official and public domains. The institute is recognised nationally and internationally for its significant contributions to policy debates.

ASPI is a company limited by guarantee wholly owned by the Australian Government and governed by the ASPI Council, which is responsible for setting the strategic direction for the institute. ASPI Council members are appointed by the Minister for Defence and include a nominee of the Leader of the Opposition to reinforce the non-partisan nature of ASPI's work.

Governance framework

The ASPI Council and its subcommittees are responsible for ensuring that a range of policies and tools are developed, implemented and regularly reviewed so that the institute is soundly governed. ASPI's approach to managing risk draws on a range of legislation, policies and guidance on risk management. The governance structure is shown below.



Staffing

ASPI employs around 40 full-time and part-time staff, including a substantial number of people with PhDs and other postgraduate qualifications and individuals with extensive senior experience in policy analysis in Australia and overseas.

Research staff conduct their work in one of two overarching themes: Defence, Strategy and Capability Programs, reflecting the traditional core work of ASPI, and National Security Programs, focusing on the evolving national security environment. Our Corporate Services team provides support for ASPI operations in the areas of governance, finance, human resources, events and training, underpinned by information and communication technologies.

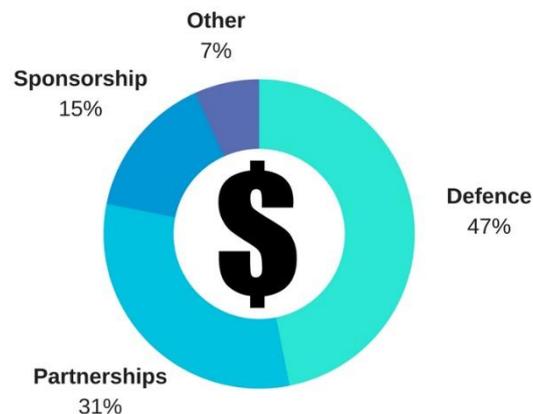
In addition, ASPI has appointed a number of Visiting Fellows—individuals with long and distinguished careers who produce a range of written analyses, contribute to ASPI program areas and provide mentoring for staff.

ASPI will continue to host international Visiting Fellows and encourage staff to undertake overseas fellowships.

Funding

Government funding, through our funding agreement with the Department of Defence, remains the key enabler of ASPI's operations and accounts for almost 47% of ASPI's total income.

This funding covers much of our operating costs and those elements of the research program (on specific mutually agreed projects) and events program that are defined in the funding agreement.



ASPI Funding

We continue to seek new partnership and sponsorship funding to develop policy-relevant research across a broader range of national security issues, further reducing the overall percentage of income provided by the department.

Operating environment

As an independent and non-partisan think tank, ASPI is unique in Australia. While a number of other think tanks operate in the strategic policy and national interest sphere, ASPI's positioning as a trusted but independent organisation with close connections to government and other key stakeholders, such as the Australian Parliament, the Australian Public Service, the media and industry, means it is well placed for success.

ASPI attracts highly credentialed and respected staff, but must continue to compete with other organisations that operate in similar subject areas.

We place a premium on the production of high-quality and policy-relevant research, often driven by customer demands. A strong reputation for quality, independence and timeliness of advice is critical for ASPI's future existence.

Staff and Visiting Fellows do most of ASPI's research and analysis. However, contracts are established with other experts in their fields to undertake research or prepare publications on particular topics. For example, contributions to our blog, *The Strategist*, come from a range of authors, including ASPI staff and Fellows.

Risk oversight and management

The two most significant risks facing ASPI are that its independence and non-partisan approach are called into question or that the quality of its research and analysis diminishes. The manifestation of either risk may lead to a loss of confidence in our work. If that were to happen, Australian Government departments and agencies might decide to withdraw or significantly reduce funding.

ASPI has in place a stringent editorial and communication processes and procedures to monitor what staff are saying publicly, as well as a thorough evaluation process on what pieces are posted on *The Strategist*. Our Research Ethics Policy guides the manner in which research is to be conducted within ASPI, and research contributors are required to conduct research in a way that does not compromise our high standards.

The ASPI Council and our independent Audit Committee are responsible for ensuring that a range of policies and tools are developed, implemented and regularly reviewed so that the institute is soundly governed. ASPI's approach to managing risk draws on a range of legislation, policies and guidance on risk management. The most significant piece of governance legislation is the *Public Governance, Performance and Accountability Act 2013*. This Corporate Plan has been prepared under section 95 of that Act and related Rules.

Our independence is demonstrated every day in the content of ASPI's research and public comment. The reality and perception of the institute's independence must be guarded, but it builds on a reputation for demonstrably meeting this requirement over the past 16 years.

Purpose

ASPI's objective is to function as a strategic policy research centre, independent of government, providing policy-relevant research and analysis to better inform government decisions and public understanding of strategic and defence issues.

It has four specific purposes:

- conducting and publishing research on issues related to Australia's strategic and defence policy choices
- preparing policy inputs on strategic and defence issues to government, as requested by government, subject to funding
- conducting a program of activities to increase understanding of strategic and defence policy issues among Australians, and to encourage the development of expertise in topics relevant to Australia's strategic and defence policy choices
- promoting international understanding of Australia's strategic and defence policy perspectives.

Performance objectives

Over the past four years, ASPI has experienced significant growth. Revenues have increased from \$2.2 million in 2012–13 to \$3.9 million in 2016–17—an increase of 82%. This revenue excludes core funding from the Department of Defence, which has increased by only 8% over the four-year period.

We expect similar growth over the next four years and will achieve it through new sponsorship and program funding as well as by seeking funding for additional training programs.

ASPI has identified five objectives and measures to be monitored during the period of this Corporate Plan.

Objective 1: Conducting research and publishing relevant outputs

Achievement measured by:

- *number and type of publications and blog posts produced by ASPI, together with examples of how the publications have contributed to the national debate*

ASPI produces documents in six main formats:

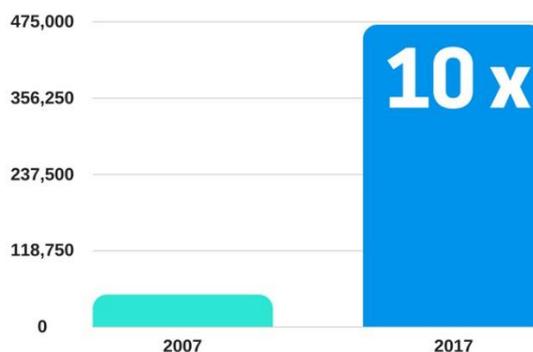
- Strategy series
- Strategic Insights
- Special Reports
- Yearbook series (includes *The cost of Defence*, *Cyber maturity in the Asia–Pacific region* and the *Counterterrorism yearbook*)
- Cyber series (introduced in 2016–17)
- CT Quick Look series.

 + 30%
Reports published

The institute produces a wide range of publications dealing with the spectrum of strategic and defence policy challenges and wider national security issues. The range continues to grow: the number of published titles has increased by 30% since 2015–16.

ASPI also occasionally produces discussion papers for distribution within the policy community. These reports usually deal with near-term planning and management issues and discuss options, often including some not previously considered by officials.

ASPI has expanded its readership base since the introduction of free PDF downloads in 2007. The number of downloads has increased nearly tenfold, from 50,000 in 2007 to nearly 470,000 in 2017.



Report downloads

ASPI continues to enjoy a substantial presence in the media landscape through *The Strategist*, which allows the institute to comment on contemporary issues in a way that is consistent with its reputation for considered analysis. *The Strategist* covers all of the major areas of our research interests. It routinely attracts 2,000 unique readers a day; however, it has almost 3,000 daily subscribers and 4,000 weekly subscribers.

ASPI staff also publish extensively outside of our own products, in academic and trade journals and newspapers.

Objective 2: Provide government with an alternative source of strategic policy ideas and advice

Achievement measured by:

- *participation in government advisory committees and expert panels*
- *submissions to parliamentary inquiries*
- *reacting to government and industry demands.*

ASPI's contribution to government policy thinking occurs formally at many different levels. More informally, our senior staff engage frequently in meetings with parliamentarians and senior bureaucrats to discuss a range of policy options. Recognised for their expertise, ASPI staff have been invited to participate in a number of government advisory committees and expert panels.

While the core funding for defence work comes from the Department of Defence, funds from other government entities have grown significantly over the past five years. This has allowed ASPI to expand the national security program areas of research, to undertake specific training programs, and to deliver contracted research and analysis.

Objective 3: Stimulate public discussion on key aspects of defence and security policy

Achievement measured by:

- number and range of ASPI public events
- published opinion pieces
- media coverage by ASPI experts contributing to the national debate
- website, blog and social media usage.

ASPI will continue to deliver a wide range of events with guest speakers, bilateral dialogues and roundtable discussions attended by Australian and international participants. Together, these events make an active and valuable contribution to discussions about defence and national security issues in Australia.

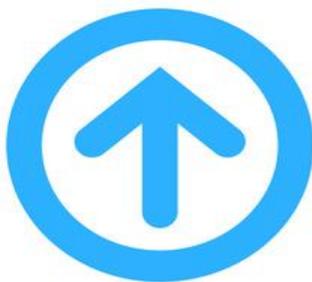
ASPI continues to play an important role in the media as part of our strategy for encouraging and informing public debate by publishing comment and analysis by ASPI staff in national and international newspapers.

ASPI staff are contacted to provide comments or be interviewed for radio or television across the full range of research program areas. This amounts to hundreds of interviews each year and reflects the standing that ASPI has established with the media as a credible and reliable source of information on what are often very complex issues.

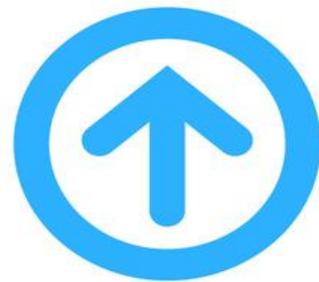
In addition to the website and *The Strategist*, ASPI employs a number of different tools to ensure that research results and analysis are communicated to a broader audience.

Web activity

ASPI's website remains the largest consumer of ASPI's online research information. It accounts for nearly 69% of all web visits, which have grown each year and have increased by 14% since 2015–16. In addition, visits to *The Strategist* continue to increase, growing by 13% since 2015–16.



Web + 14%
Blog +13%



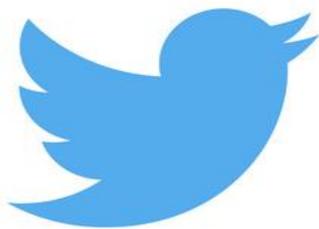
Web activity

Social media

ASPI uses Twitter to inform followers of newly released pieces from *The Strategist*, reports and articles related to defence and strategic policy, as well as to alert audiences to ASPI events and developments. We also 'live tweet' updates, images and quotes to Australian and international followers in near real time during ASPI public events. Twitter followers stood at 13,900 at the end of 2016–17, which was a substantial increase of 37% from the previous financial year.

Facebook remains the key mechanism for ASPI to upload information about itself, including notifications on internship opportunities, images and videos, event updates and news. It continues to be the social medium of choice, producing a 20% increase in 'likes' since 2015–16.

With the recent development of our Social Media Strategy we anticipate that our presence in this space will expand even more.



T: + 37%

F: + 20%



Social Media

YouTube

Videos featuring leading strategic thinkers from Australia and abroad, as well as videos of speeches recorded at ASPI functions, are featured on the ASPI YouTube channel. YouTube subscribers have continued to increase to 1,612 current subscribers (up from 849 the previous year). Our video watch-time is over 325,000 minutes, view duration is increasing, and the total number of views has grown to more than 60,000.

Objective 4: Promote international understanding

Achievement measured by:

- *ranking in University of Pennsylvania's Global Go To Think Tank Index*
- *accepted invitations to speak at prestigious international conferences*
- *links with overseas think tanks*
- *participation in and hosting of international dialogues.*

ASPI's standing as a respected source of analysis is recognised both internationally and domestically, and can be measured by our international ranking in a global index of think tanks. We are currently ranked 12th in the 'Top defence and national security think tanks' category in the University of Pennsylvania's 2016 Global Go To Think Tank Index, making ASPI the highest ranked defence and national security think tank in Australia.

We also maintained our rank of 27th in the 'Top foreign policy and international affairs think tanks' category. This is the highest ranking for any Australian-based think tank and the third-highest outside of the US and Europe.

ASPI has also been selected to co-host Track 1.5 dialogues with international institutions and government partners on a regular basis. We strengthen links with overseas think tanks through exchanges and fellowships and by being invited to speak at international conferences.

Objective 5: Develop expertise

Achievement measured by:

- *number of interns whom ASPI supports*
- *published pieces by interns*
- *participants in ASPI Education courses*

Our paid internship program gives recent graduates the opportunity to contribute to ASPI research projects and also to conduct their own research projects for future publication either by ASPI or independently. Through attending many of ASPI's events, the interns make contact with senior officials, researchers and diplomats from Canberra and elsewhere as they begin to form professional networks for their strategic policy careers.

ASPI is committed to fostering the next generation of strategic policy thinkers and plays and will continue play an active role in professional development for government clients, particularly the Department of Defence. This includes the Better Policy program, attended by APS and Executive level staff and, separately, SES staff and the Defence Graduates Seminar Program and the History of Australia's Foreign Policy course, in which Defence participants attend a two-week program focusing on the strategic issues facing Australia.

The intention is to expand the training program using the success of the current platform to introduce additional training courses over the next four years.

Research program areas

To achieve our objectives, ASPI research staff operate in program areas that belong to either the Defence, Strategy and Capability theme or the National Security theme.

While each program area plans for its work to be completed throughout the year, ASPI's product must also be relevant and timely. Therefore, some of our work can be a direct response to national and international events, such as terrorist attacks.

Defence, Strategy and Capability Programs

Defence and Strategy Program

The Defence and Strategy Program analyses strategic trends and the role of military force, including:

- how changing power relativities affect military affairs
- the role of conventional and nuclear weapons in the 21st century
- the strategic impact of economic, demographic and other trends.

It also analyses the capability of the ADF through all stages of the capability life cycle, including:

- identifying requirements for future capability
- analysing competing options for materiel solutions
- tracking the progress of projects in the Integrated Investment Program
- exploring issues related to ADF personnel matters, including recruitment, retention and training
- assessing ADF capability against regional militaries.

The program also analyses the management of the Department of Defence and assesses Australia's defence budgets and the wider range of activities required to produce and support the ADF's capabilities. This includes:

- defence funding over both the short and the long terms
- policies and capabilities of the defence industry
- project management strategies
- defence economic trends, especially as they apply to materiel.

International Cyber Policy Centre

ASPI's International Cyber Policy Centre (ICPC) focuses on the growing importance of cyber-related issues for broader strategic policy. ICPC brings together the various Australian Government departments with responsibilities for cyber issues and a range of private-sector partners and creative thinkers to help Australia create constructive cyber policies for application at home and abroad.

The centre aims to facilitate conversations between governments, the private sector and academia across the Asia–Pacific region to increase constructive dialogue on cyber issues and do its part to create a common understanding of problems and possible solutions in cyberspace.

ICPC has four key aims:

- Lift the level of Australian and Asia–Pacific public understanding and debate on cybersecurity.
- Provide a focus for developing innovative and high-quality public policy on cyber issues.
- Facilitate Track 1.5 and Track 2 dialogues on cyber issues in the Asia–Pacific region.
- Link different levels of government, business and the public in a sustained dialogue on cybersecurity.

The Strategist

The Strategist is ASPI's commentary and analysis site and aims to provide fresh ideas on Australia's critical defence and strategic policy choices. It also aims to encourage and facilitate discussion and debate among the strategy community and Australian public. The views expressed on *The Strategist* are those of the individual authors and do not represent the views of ASPI or the institutions to which the authors are attached.

National Security Programs

Five interlinked programs combine to provide comprehensive coverage of national security issues. A modern approach to national security must be designed to respond to major security issues as they affect citizens, rather than just the institutions of the state.

International Program

ASPI's International Program explores some of the challenges and opportunities for Australia as they relate to maintaining international peace and security. The program also contributes to broader research efforts on multilateral security issues.

The International Program has three main aims:

- Deepen understanding within Australia and internationally of global security issues and multilateral peace operations.
- Facilitate engagement among key stakeholders in government, the private sector and civil society on issues relating to international peace and security.
- Provide policy advice on emerging challenges and opportunities for Australia to contribute to efforts to maintain international peace and security.

Counter-Terrorism Policy Centre

In 2015, ASPI established the Counter-Terrorism Policy Centre with two purposes:

- to elucidate the threats posed by terrorists to Australia and to the maintenance of international peace and security
- to advise, comment and engage with policymakers on how to best prepare Australia for the threat that terrorists pose to our national security interests.

To achieve those goals, the centre has continued to establish itself as the leading think tank authority in Australia on national security, terrorism and counterterrorism.

Risk and Resilience Program

The Risk and Resilience Program examines new ideas and approaches to thinking about and managing the impacts of natural and socio-technical hazards in Australia. Its focus includes developing relevant advice and commentary, informing policy choices within national, state and local governments and the private sector, and enhancing assessments of vulnerability and mitigation options to promote resilience in human systems. The program has four key goals:

- Deepen national understanding of the benefits and challenges of using resilience as a central theme in effective disaster risk reduction practice by public and private-sector agencies.
- Expand appreciation of the need to include resilience as a base-level design factor for critical infrastructure systems to maintain continuity of essential services and reduce vulnerability.
- Promote inclusive debate in government and communities on how to generate and sustain community resilience in modern Australia.
- Enhance capacity building in disaster management in the ASEAN region and Oceania.

Strategic Policing and Law Enforcement Program

ASPI's Strategic Policing and Law Enforcement Program examines the contribution of law enforcement to national security and to broader strategic policy. The main products of the program include analysis and policy recommendations.

The program has three main aims:

- Understand the link between law enforcement issues and national security concerns.
- Explain the contribution of law enforcement agencies to Australia's international strategic objectives.
- Help law enforcement agencies position themselves for the future.

Border Security Program

ASPI's Border Security Program provides independent, policy-relevant research and analysis to better inform government decisions and public understanding on border security and related fields. Its research focuses on issues relevant to managing the border continuum to help to support and facilitate legitimate trade and travel and protect the Australian community from a range of border risks. The program is concentrated on all-hazard national security threats and risks.

The program has four main objectives:

- Lift the level of Australian and regional understanding and dialogue on border security.
- Create a space and forum for the development of high-quality public policy on border security related issues.
- Provide a means for developing Track 1.5 and Track 2 dialogues on border security.
- Create a sustained dialogue between the private and public sectors on border security.

ASPI Education

ASPI Education has now been operational for 12 months. It aims to help improve judgement through short, intensive professional development courses and workshops that focus on policymaking, thinking skills and strategic analysis. ASPI is committed to fostering the next generation of strategic policy thinkers and plays an active role in professional development for government clients, particularly the Department of Defence.

The courses that ASPI Education provides are highly interactive, delivered to small groups and tailored to participants' needs. ASPI Education conducts specially developed authentic learning experiences using our significant research base covering defence and national security, risk and resilience, counterterrorism, cyber matters, strategic policing and border security.

These educational experiences use reality-based contexts in which participants grapple with exciting and relevant policy challenges, guided by some of Australia's most experienced policy practitioners and thinkers on these subjects.