



WHISTLEBLOWER STANDARD

BST018 - REV 5 – 25/02/2022

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1 CONTEXT

Base Resources is a creative resource company which exists to enrich its people, the communities in which it operates and its shareholders.

Central to the Base Way is a belief in the potential of all people, the power of a team and a commitment to uphold absolute integrity at all times.

Inappropriate conduct by any Base employee can have a rapid and destructive impact on both the workplace culture and Base's business and its reputation. Accordingly, it is important for Base to be made aware of any such inappropriate conduct so that it can act quickly and decisively.

Base recognises that, in order to foster an open working environment where inappropriate conduct is reported, it is important for individuals who are aware of possible inappropriate conduct to have the confidence to speak up and tell it how it is, in the knowledge that they will be protected and supported, and their reports will be dealt with appropriately.

2 PURPOSE

The purpose of this Standard is to provide a transparent mechanism for:

- Base individuals to report instances of inappropriate conduct safely, securely and with confidence that they will be protected and supported; and
- dealing with any such reports appropriately and in a timely manner.

In this way, this Standard and its implementation seeks to both encourage the reporting of inappropriate conduct and deter inappropriate conduct.

By making a report in accordance with this Standard, you may be afforded protection under the Australian whistleblower laws. The **Australian whistleblower laws** are set out in Part 9.4AAA of the *Corporations Act 2001* (Cth) (**Corporations Act**) and Part IVD of the *Taxation Administration Act 1953* (Cth), and the application and protections under the Australian whistleblower laws are explained in Sections 6, 7 and 8 of this Standard and the **Schedule** to this Standard.

This Standard is available to all officers and employees of Base from the Governance section of Base's website (www.baseresources.com.au) and in the Policies and Procedures folder on Group File Share.

Except where otherwise indicated, references to Base in this Standard are to Base Resources Limited and each of its related bodies corporate, which are its subsidiaries.

3 WHO DOES THIS STANDARD APPLY TO?

This Standard applies to all **Base individuals** being current or past:

- directors, officers or employees of Base;
- contractors or suppliers of goods or services to Base (whether paid or unpaid), and their current or past employees (whether paid or unpaid); and
- associates of Base (as defined in the Corporations Act).

Base individuals also include a relative (including the person's spouse, de facto partner, child, parent or sibling) or dependent of an individual mentioned above or a dependent of the spouse or de facto partner of an individual mentioned above.

This Standard applies to all Base individuals, regardless of where they are located and irrespective of whether the protections in the Australian whistleblower laws apply.

In this Standard, a reference to **you** is to a person who is a Base individual.

4 CONDUCT THAT THIS STANDARD APPLIES TO

This Standard applies where you have **reasonable grounds to suspect** that **inappropriate conduct** relating to Base has occurred or is occurring. These concepts are explained in the following sections.

4.1 INAPPROPRIATE CONDUCT

Inappropriate conduct is any conduct at Base, or conduct by an officer or employee of Base, which:

- is dishonest, fraudulent or corrupt, including bribery, money laundering or any other activity in breach of Base's Integrity Standard;
- is illegal, such as theft, drug sale or use, violence, harassment or intimidation, criminal damage to property or other breaches of or offences under any applicable laws or regulatory requirements;
- is unethical or in breach of Base's policies (such as dishonestly altering company records or data, adopting questionable accounting practices or wilfully breaching Base's Integrity Standard or Securities Trading Standard);
- is potentially damaging to Base, a Base Individual or the public, such as unsafe work practices, environmental damage, health risks or abuse of Base's property or resources;
- poses a significant risk to public safety or the stability of financial systems (even if it does not involve a breach of applicable law);
- amounts to an abuse of authority;
- may cause financial loss to Base, damage its reputation or otherwise be detrimental to Base's interests; or
- involves any other kind of misconduct (including any negligence, default, breach of trust or breach of duty) or an improper state of affairs or circumstances in relation to Base or its tax affairs.

Inappropriate conduct can include conduct that may not be illegal or contravene the law. It may also involve conduct that is not misconduct, but which indicates a systemic issue relating to Base, including behaviour or practices that may cause harm to its host communities.

Inappropriate conduct also includes causing or threatening to cause detriment to any Base individual who has made a report or is believed or suspected to have made or be planning to make a report under this Standard. Detriment is defined in Section 7 below.

4.2 REASONABLE GROUNDS FOR SUSPICION

This Standard applies even if your report turns out to be incorrect or mistaken, provided you have **reasonable grounds** to suspect inappropriate conduct. Whether you have reasonable grounds for your suspicion is an objective question, having regard to all the circumstances.

To have reasonable ground for a suspicion, you do not need to be able to prove the allegation(s) in your report.

You will generally have **reasonable grounds** to suspect inappropriate conduct where there is some objective basis for your report (for example, you saw, heard or were involved in something related to inappropriate conduct or indicating inappropriate conduct may have occurred).

You may still have **reasonable grounds** to suspect inappropriate conduct where you are relying on second-hand information (for example, something another person told you).

You will not have reasonable grounds for suspecting inappropriate conduct where you know the information you are reporting is untrue or unfounded, or where you have no information supporting the allegation(s) in your report.

In assessing whether there are reasonable grounds to suspect inappropriate conduct, your motive for making the report, and your opinion of anyone mentioned in your report, is not relevant.

4.3 PERSONAL WORK-RELATED GRIEVANCES

This Standard does not apply to reports about **personal work-related grievances**, unless the report also has significant implications for Base or concerns inappropriate conduct (including causing or threatening to cause any detriment, as defined in and contrary to Section 7).

A report is considered to be about a **personal work-related grievance** if it is about any matter in relation to your employment or former employment with Base (as the case may be) which has, or tends to have, implications only for you personally.

Examples of grievances that may be a **personal work-related grievance** include:

- a personal conflict with another Base employee;
- a decision about the engagement, transfer or promotion of a Base employee;
- a decision about the terms and conditions of engagement of a Base employee; or
- a decision to suspend or terminate the engagement of a Base employee, or otherwise to discipline a Base employee.

4.4 REPORTING WHEN YOU ARE UNSURE

You are encouraged to make a report under this Standard, even if you are unsure about whether the conduct in question is inappropriate conduct. As set out in Section 8 in more detail, the Whistleblower Protection Officer assigned responsibility for your report will determine whether it relates to inappropriate conduct and whether this Standard applies to your report. You will not be penalised for raising concerns in accordance with this Standard if the Whistleblower Protection Officer assigned responsibility for your report determines that it does not relate to inappropriate conduct.

If the responsible Whistleblower Protection Officer determines that your report is about a personal work-related grievance and that this Standard does not otherwise apply to your report, it will be dealt with in accordance with Base's Fair Treatment Standard. For details about Base's approach for managing, resolving and investigating personal work-related grievances, refer to Base's Fair Treatment Standard.

5 HOW TO MAKE A REPORT OF INAPPROPRIATE CONDUCT

5.1 WHO SHOULD I REPORT INAPPROPRIATE CONDUCT TO?

To qualify for protections under this Standard and the Australian whistleblower laws, you must report inappropriate conduct to **IntegrityLine** or one of the individuals described in this Section or the Schedule (unless the report is a Public Interest Disclosure or Emergency Disclosure, as described in the Schedule). Reporting inappropriate conduct to someone else will mean you do not have the protections described in this Standard.

5.2 INTEGRITYLINE AND WHISTLEBLOWER RECEIVING OFFICERS

To best ensure your report is addressed promptly and securely, Base encourages reports of inappropriate conduct to be made by:

- contacting or accessing IntegrityLine by telephone or online; **or**
- contacting a Whistleblower Receiving Officer.

The making of reports by either of these means is **strongly encouraged** because:

- IntegrityLine is an independent whistleblower hotline, operated by Convercent, which has been specifically engaged by Base for the purposes of providing Base individuals with an external and secure option for reporting inappropriate conduct. IntegrityLine can also accommodate reports in a wide-range of languages, including Kiswahili and Malagasy.
- The **Whistleblower Receiving Officers** are employees or officers of Base and have each received training in the operation of this Standard, including its confidentiality requirements and the requirements for ensuring that you do not suffer any detriment for making a report. Accordingly, you should feel comfortable making a report to any of the Whistleblower Receiving Officers.

The contact details and website address for IntegrityLine are below, as are the names of the Whistleblower Receiving Officers and their contact details.

EXTERNAL CONTACTS	CONTACT DETAILS
IntegrityLine	<p>Online at www.baseintegrityline.com By Phone (toll free):</p> <p>Australia: 1-800-763-983 Kenya: 0800-221312</p> <p>Madagascar: Orange 032 320 3111 Telma 034 308 0555 Airtel 033 658 0555</p> <p>If you are away from your home country and wish to make a report, please check online for the applicable number.</p>

INTERNAL CONTACTS – WHISTLEBLOWER RECEIVING OFFICERS	
Non-Executive Chair – Michael Stirzaker	General Manager- External Affairs (Base Titanium) – Simon Wall
Managing Director – Tim Carstens	General Manager – Social Performance – Colin Forbes
Chief Financial Officer – Kevin Balloch	General Manager – Marketing – Stephen Hay
	General Manager – Growth – Andre Greyling
General Counsel – Chadwick Poletti	General Manager – Operations (Base Titanium) – Denham Vickers
General Manager – External Affairs (Base Toliara) – Jean Bruno Ramahefarivo	General Manager – Support Services – Michael Rose
External Affairs Manager (Base Titanium) – Dr Melba Wasunna	Group Manager Human Resources & Organisational Development – Amanda Tagliaferri

5.3 OTHER PEOPLE TO WHOM YOU CAN MAKE A REPORT

While Base’s preference is that you raise reports of inappropriate conduct via IntegrityLine or to a Whistleblower Receiving Officer, you may also make a report to any of Base’s **officers (i.e. directors or company secretary) or senior managers**. The term “senior manager” has a specific meaning under Australia’s Corporations Act. If you are unsure whether someone is a senior manager, you should contact the Base Legal team at legal@baseresources.com.au.

The Schedule lists additional individuals (both within and external to Base) and bodies that you may also report inappropriate conduct to. To avoid doubt, the steps in Section 9 of this Standard do not apply where you make a report to an individual or body outside Base; however, you will still be entitled to the protections under this Standard (where applicable), and may still be entitled to the protections under the Australian whistleblower laws.

5.4 CAN I TAKE LEGAL ADVICE?

Yes - you can still qualify for the protections under the Australian whistleblower laws where you disclose inappropriate conduct to a legal practitioner for the purpose of obtaining legal advice about the operation of the Australian whistleblower laws. Base encourages you to take legal advice if you are unsure about any aspect of this Standard or the Australian whistleblower laws.

5.5 CAN I MAKE A REPORT ANONYMOUSLY?

Yes – you can make a report of inappropriate conduct anonymously. You may also adopt a pseudonym (false name) for the purposes of your report. You may also choose to remain anonymous over the course of any subsequent investigation and after any subsequent investigation is finalised. In addition, you are entitled to refuse to answer any questions if they could reveal your identity.

If you wish to remain anonymous, you should provide an anonymous means by which Base may contact you in case of any follow-up questions, for the purposes of any subsequent investigation or to provide you with feedback.

IntegrityLine can facilitate both anonymous reporting and reporting using a pseudonym. IntegrityLine will comply with the confidentiality protections in Section 7 of this Standard, and can act as an intermediary for any contact Base requires – e.g. for the purposes of any investigation.

Your report will be treated in the same manner, and you will be afforded the protections under this Standard, whether or not you remain anonymous. Remaining anonymous will also not impact your ability to be afforded the protections under the Australian whistleblower laws.

5.6 WHAT HAPPENS AFTER A REPORT OF INAPPROPRIATE CONDUCT IS RECEIVED?

As explained in Section 8 in more detail, when a report is received by IntegrityLine, a Whistleblower Receiving Officer or another Base employee or officer described Section 5.3, they will, subject to the confidentiality obligations in Section 7.1, only provide details of your report to the **Whistleblower Protection Officers**.

The role of the Whistleblower Protection Officers is to triage, manage and ensure the due treatment of all reports of inappropriate conduct made under this Standard. The Whistleblower Protection Officers are responsible for ensuring that Base and its employees follow this Standard, and have received training on the Standard’s requirements and their responsibilities.

The Whistleblower Protections Officers are set out in the table below.

WHISTLEBLOWER PROTECTION OFFICERS
Non-Executive Chair – Michael Stirzaker
Managing Director – Tim Carstens
General Counsel – Chadwick Poletti

5.7 PUBLIC INTEREST DISCLOSURES AND EMERGENCY DISCLOSURES

In certain circumstances, you may report inappropriate conduct to a professional journalist or Member of Parliament and be able to be afforded the protections under this Standard (where applicable) and the Australian whistleblower laws. Such reports are known as **Public Interest Disclosures** or **Emergency Disclosures**. Refer to the Schedule for further details about these.

6 CONFIDENTIALITY PROTECTIONS

6.1 WILL MY IDENTITY BE CONFIDENTIAL?

Base is committed to keeping confidential the identity of Base individuals who make reports under this Standard.

When you make a report under this Standard, you may **give your consent** to being identified as the person who made the report.

If you **do not consent** to being identified as the person who made the report, the person who receives your report will not disclose your identity (or information that is likely to lead to you being identified) except to the individuals or bodies listed in the Schedule. The person who received your report may also disclose information that is likely to lead to you being identified (but not your identity itself) if:

- it is reasonably necessary to do so to investigate the issues raised in your report; and
- they have taken all reasonable steps to reduce the risk that you will be identified.

6.2 MEASURES FOR PROTECTING CONFIDENTIALITY

Unless you consent to your identity being disclosed, the person who receives a report under this Standard will, prior to disclosing any record of your report:

- remove any of your personal information (including name, contact details and position);
- refer to you in a gender neutral way; and
- if possible, remove references to you having witnessed any events.

They may also discuss with you whether there are any aspects of your report that may inadvertently reveal your identity.

Base will ensure that any records (whether in paper or electronic form) relating to a report of inappropriate conduct are stored securely and confidentially, and are only able to be accessed by Base staff who are authorised to access the information for the purposes of assessing or investigating the report. Information about the report will be disclosed to those involved in investigating the report on a need-to-know basis. In addition, the Whistleblower Protection Officers will carry out a risk assessment in respect of each report of inappropriate conduct to determine if any additional measures are required. You can also ask a Whistleblower Protection Officer for details about the procedures Base has in place to ensure confidentiality.

6.3 CONSEQUENCES OF BREACH, COMPLAINTS AND FURTHER INFORMATION

Any disclosure of information in breach of this Standard will be regarded as a very serious matter and will be dealt with under Base's Unacceptable Performance and Misconduct Standard. It may also be an offence under the Australian whistleblower laws.

If you believe that there has been any unauthorised disclosure of your identity, information that is likely to lead to your identification or information contained in a report, you should report this to a Whistleblower Protection Officer, a Whistleblower Receiving Officer or IntegrityLine. If the Australian whistleblower laws apply to your report, you may also lodge a complaint with the Australian Securities and Investments Commission or, if the report concerns Base's tax affairs, the Australian Taxation Office.

7 PROTECTIONS FROM DETRIMENT

7.1 WILL I BE PROTECTED IF I MAKE A REPORT?

Base is committed to protecting the rights of any Base individual who reports inappropriate conduct and ensuring that they are treated fairly and do not suffer any detriment.

No person at Base may cause or threaten **detriment** to any person for a reason which includes that they or any other person:

- has reported or proposes to report inappropriate conduct under this Standard; or
- is suspected to have or believed to have, or could have, reported inappropriate conduct under this Standard.

If the report in question qualifies or would (if made) qualify for protection under the Australian whistleblower laws, such conduct will also be illegal.

Detriment includes (but is not limited to):

- dismissal of an employee;
- injury of an employee in their employment;
- alteration of an employee's position or duties to their disadvantage;

- discrimination, harassment or intimidation;
- harm or injury including psychological harm;
- damage to property, reputation;
- damage to a person's business or financial position; and
- taking action against a person to enforce a right (for example, a breach of confidentiality) or subjecting them to any liability or action.

However, detriment will not include:

- an administrative action that is reasonable to protect a Base individual that has reported inappropriate conduct from detriment; or
- taking action to address unsatisfactory work performance by a Base individual that has reported inappropriate conduct in line with Base's Unacceptable Performance and Misconduct Standard.

7.2 MEASURES TO PROTECT AGAINST DETRIMENT

If you make a report under this Standard, the Whistleblower Protection Officers will ensure that appropriate measures are put in place to protect you from suffering any detriment for making the report.

After you make a report of inappropriate conduct, the Whistleblower Protection Officers will carry out an assessment of the risk of detriment against you to decide the appropriate measures to put in place. Such measures may involve:

- allowing you to perform your duties from another location;
- making other modifications to your workplace; or
- where you work in an office where no Whistleblower Protection Officer is based, requiring a General Manager in that office to monitor you.

If you are not a current employee or officer of Base, there will be limits to what measures Base can take to protect you from detriment. However, Base will do what is reasonably within its power to ensure you do not suffer detriment.

If, having made a report of inappropriate conduct, you believe further measures to protect you from detriment should be put in place or you require any support services, such as counselling, you should contact a Whistleblower Protection Officer.

7.3 CONSEQUENCES OF BREACH, COMPLAINTS AND FURTHER INFORMATION

If you believe you or any other person has been the subject of any act or threat of detriment in breach of this Standard, you should report this to a Whistleblower Protection Officer, a Whistleblower Receiving Officer or IntegrityLine. If the Australian whistleblower laws apply to your report, you may also make a report to the Australian Securities and Investments Commission or, if the report concerns Base's tax affairs, the Commissioner of Taxation. An Australian court may also award compensation or other remedies to any person who has suffered loss, damage or injury in connection with a report made under this Standard. You should seek independent legal advice before making any application to the court.

Any acts or threats of detriment will be regarded as a very serious matter and will be dealt with under Base's Unacceptable Performance and Misconduct Standard. As noted above, it may also be an offence under the Australian whistleblower laws.

8 THE AUSTRALIAN WHISTLEBLOWER LAWS

The protections under the Australian whistleblower laws may apply to a Base individual located in Australia or whose connection is to Base Resources Limited. Consequently, these protections may not apply where a report is made by a Base individual located outside Australia and whose connection is to one of Base's foreign subsidiaries – e.g. a Base individual is an officer, employee or supplier of Base Titanium Limited (Kenya) or Base Toliara SARL (Madagascar). This Standard does not expand the scope of the Australian whistleblower laws. If this Standard is inconsistent with any applicable local laws in jurisdictions other than Australia, those local laws apply to the extent of the inconsistency.

For more information about the Australian whistleblower laws, see the information available on the Australian Securities and Investments Commission website (www.asic.gov.au) and the Australian Taxation Office website (www.ato.gov.au). You seek independent legal advice if you are unsure about the application and/or scope of these protections.

To the extent applicable, further protections apply under the Australian whistleblower laws. Refer to the **Schedule** for a summary of these.

9 HOW WILL A REPORT OF INAPPROPRIATE CONDUCT BE INVESTIGATED?

When a report of inappropriate conduct is made under this Standard, the following steps will be followed:

- The person who receives a report of inappropriate conduct must provide the information to any or all of the Whistleblower Protection Officers as soon as practicable. Prior to doing so, the person must take the steps referred to in Section 6.2 to protect the confidentiality of the Base individual's identity if they have not consented to their identity being disclosed.
- A Whistleblower Protection Officer will then be assigned responsibility for the report and that Whistleblower Protection Officer must determine whether the report falls within the scope of this Standard.
- If the report concerns a Whistleblower Protection Officer, then the report must not be provided to that person, and must be provided to one of the other Whistleblower Protection Officers. If you are concerned that one of the Whistleblower Protection Officers may have a personal interest in your report, you should raise this when you make your report.
- If the report concerns a Whistleblower Protection Officer that is a Base executive, the Non-Executive Chair will be responsible for the report and determining whether it falls within this Standard.

The responsible Whistleblower Protection Officer is referred to in this Standard as the **Whistleblower Investigating Officer**.

- The Whistleblower Investigating Officer must determine whether a formal investigation is required. Not all reports of inappropriate conduct under this Standard will need to be formally investigated. You will usually be informed if your report is not being investigated, unless the Whistleblower Investigating Officer determines that it would be inappropriate to do so. If you are unsatisfied with this outcome, you can discuss it with the Whistleblower Investigating Officer or any other Whistleblower Receiving Officer.
- If the Whistleblower Investigating Officer determines that an investigation is necessary, they must determine the following:
 - the nature and scope of the investigation to be carried out;
 - the person(s) within and/or outside Base that should investigate the report;

- the nature of any technical, financial, tax or legal advice that may be required to support the investigation; and
- the timeframe for the investigation.
- The Whistleblower Investigating Officer must ensure that the investigator selected is independent and has no actual, perceived or potential conflict of interest in respect of the report. During any investigation, the Whistleblower Investigating Officer must monitor the investigator to ensure no actual, perceived or potential conflict of interest subsequently arises.
- The Whistleblower Investigating Officer must ensure that the investigator conducts any investigation in an objective and fair manner, including in accordance with Section 10.
- The Whistleblower Investigating Officer will determine the updates to be provided to the reporting Base individual, how and to whom the outcome of the investigation is reported and the actions to be taken as a result of the investigation. Any reporting of the outcome of the investigation must comply with the confidentiality provisions in Section 6.1. There may be circumstances where it is inappropriate to inform the Base individual about the outcome of any investigation.
- The Whistleblower Investigating Officer, the other Whistleblower Protection Officers and other persons responsible for or involved in an investigation must comply with the confidentiality provisions in Section 6.

10 TREATMENT OF PEOPLE MENTIONED IN REPORTS

Base is committed to the fair treatment of people mentioned in reports made under this Standard, including those alleged to have engaged in inappropriate conduct. To ensure such fair treatment, the Whistleblower Investigating Officer must ensure:

- Investigations are conducted in accordance with the principles of natural justice and procedural fairness.
- Where appropriate, the subject of any report of inappropriate conduct and any other employee who has been adversely mentioned in the report is informed of the allegations against them and provided with an opportunity to respond to the allegations made in respect of them.
- Investigations are carried out confidentially, and anyone involved in the investigation (including people spoken to in connection with the investigation) is reminded of their confidentiality obligations.

Anyone involved in an investigation (including any person the subject of a report of inappropriate conduct) should contact a Whistleblower Investigating Officer or the other Whistleblower Protection Officers if they require any support services, such as counselling.

11 REPORTING TO THE BOARD OR THE ESE COMMITTEE

Subject to the confidentiality obligations in Section 6, the Whistleblower Protection Officers must provide the Board or the ESE Committee with an update on reports made under this Standard, including information on:

- the number of reports made;
- the subject matter of each report;
- the status of any investigations underway;
- the outcomes of any investigations completed; and
- actions taken as a result of any reports or investigations.

12 RESPONSIBILITY AND STANDARD REVIEW

The Managing Director is responsible for the oversight and monitoring of this Standard. This Standard must be reviewed by the Managing Director every two years.

The Managing Director is authorised to make any amendments to this Standard considered necessary, provided that any such amendments are notified to the Board or the ESE Committee.

13 QUESTIONS

If you have any questions or would like any further information about this Standard, including about how this Standard works, what this Standard covers, and how a report will be handled, you should contact a Whistleblower Protection Officer.

If you have any questions about the protections afforded under the Australian whistleblower laws and whether they will apply to a report, you should seek independent legal advice. The protections under this Standard (where applicable) and the Australian whistleblower laws apply to information disclosed to a legal practitioner for the purpose of obtaining legal advice or legal representation about the Australian whistleblower laws.

14 SUPPORTING DOCUMENTS

Whistleblower System Description – BSD018

Whistleblower Process – BPR018

Integrity Standard – BST009

Fair Treatment Standard – BST010

Unacceptable Performance and Misconduct Standard – BST008

SCHEDULE – ADDITIONAL INFORMATION

NO.	CROSS REFERENCE	ADDITIONAL INFORMATION
1.	N/A	This Standard is Base’s whistleblower policy for the purpose of s 1317AI of the Corporations Act.
2.	Sections 5.1 and 5.2	<p>You may also report inappropriate conduct to any of the following people or bodies:</p> <ul style="list-style-type: none"> • an internal or external auditor or a member of the audit team conducting an audit of Base; • an actuary of Base; • the Australian Securities and Investments Commission (ASIC); or • the Australian Prudential Regulatory Authority (APRA). <p>If your report concerns inappropriate conduct relating to Base’s tax affairs, it can also be made to:</p> <ul style="list-style-type: none"> • a registered tax agent or BAS agent engaged by Base; or • the Australian Commission of Taxation. <p>From time-to-time, Australian government regulations may designate other individuals who can receive reports of inappropriate conduct under the Australian whistleblower laws.</p> <p>The steps in Section 9 of this Standard do not apply where you make a report to an individual or body outside Base; however, you will still be entitled to the protections under this Standard (where applicable), and may still be entitled to the protections under the Australian whistleblower laws.</p>
3.	Section 5.2	To avoid doubt, Convercent and the Whistleblower Receiving Officers are authorised by Base to receive reports of inappropriate conduct for the purposes of section 1317AAC(1)(d) of the Corporations Act.
4.	Section 5.7	<p>If you report inappropriate conduct to a professional journalist or Member of Parliament, that report will constitute a Public Interest Disclosure if:</p> <ul style="list-style-type: none"> • you have previously made a report to ASIC or APRA (or another prescribed body); • at least 90 days has passed since you made the original report; • you do not have reasonable grounds to believe that action is being, or has been, taken to address the matters in your report; and • you have reasonable grounds to believe that making a further disclosure would be in the public interest. <p>If you report inappropriate conduct to a professional journalist or Member of Parliament, that report constitute an Emergency Disclosure if:</p> <ul style="list-style-type: none"> • you have previously made a report to ASIC or APRA (or another prescribed body); and • you have reasonable grounds to believe that the information disclosed concerns a substantial and imminent danger to the health or safety of one or more persons or the environment.

NO.	CROSS REFERENCE	ADDITIONAL INFORMATION
		<p>Before making a Public Interest Disclosure or Emergency Disclosure, you must give written notice to the body to which you made the original report, including enough information to enable your original report to be identified.</p> <p>A Public Interest Disclosure or Emergency Disclosure can include no more detail than is necessary to inform the recipient of, respectively, the inappropriate conduct or the substantial and imminent danger.</p> <p>If you make a Public Interest Disclosure or Emergency Disclosure that satisfies the requirements in the Australian whistleblower laws, you will also receive the protections described in this Standard. Base strongly encourages you to take independent legal advice before making a Public Interest Disclosure or Emergency Disclosure.</p>
5.	Section 6.1	<p>Your identity (or information that is likely to lead to your identification) may be disclosed to the following individuals or bodies without your consent:</p> <ul style="list-style-type: none"> • ASIC, APRA or the Australian Federal Police; • the Commissioner of Taxation (where the matter relates to Base’s tax affairs); and • a lawyer for the purpose of obtaining legal advice about the operation of the Australian whistleblower laws
6.	Section 8	<p>If a Base individual reports inappropriate conduct in accordance with this Standard, the following further protections apply under the Australian whistleblower laws:</p> <ul style="list-style-type: none"> • The Base individual reporting inappropriate conduct is protected from civil, criminal and administrative liability in connection with the making of the report. However, this does not mean that the Base individual will not be liable for any inappropriate conduct that may be revealed by the report. • No contractual or other remedies may be enforced and no contractual or other right may be exercised against the Base individual for making the report. • The information provided may also be inadmissible as evidence against the Base individual in legal proceedings (except if the information is untrue).