



Conducting VET Assessment Procedure –Version 2

Document: Conducting VET Assessment

Procedure

Document No.: ACA-AA-PRO029

Process Area: Academic Affairs





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1 Document Control

Version	Date	Amended by	Changes Made
1	04/05/2016	Manager, Quality	Initial draft
1.1	01/08/2017	Manager, Teaching and Learning Enhancement	Update to new Policy and Procedure templates.
			Inclusion of Definitions
			Review and clarification of Assessment Procedure.
			Integration of persons responsible for each step of procedure
			Inclusion of Risk evaluation
2	19/03/2018	Manager, Teaching and Learning Enhancement	Incorporated Exec. Team feedback. Final document

2 Purpose

To determine the principles which apply to conducting assessment in Vocational Education and Training.

3 Scope

Applies to Box Hill Institute and CAE in relation to conducting assessment in Vocational Education and Training.

4 Responsibilities

Refer to 6. Procedure

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5 Definitions

Term	Definition			
Assessment Tool	Consists of Assessment tasks with instructions to students, Marking guides with instructions to assessors, instruments for recording assessment decisions, exemplars and information regarding recording, retention and storage of assessments.			
Assessment Method	The techniques used to gather different types of evidence for assessment.			
Exemplar	Example of marked work which may have accompanying commentary.			
Marking Guide	A guide containing the answers, rubrics, decision rules or guidelines to be used by an assessor to reach an assessment judgement.			
Training Product	Means an AQF qualification, skill set, unit of competency and accredited short course.			
Assessment System	An assessment system includes not only the actual materials used directly in conducting assessment, but also policies, procedures and other supporting documents that inform the way assessment is conducted.			
Assessment Requirements	Each unit of competency contains assessment requirements grouped into three areas:			
	 performance evidence knowledge evidence assessment conditions. 			

6 Procedure

Procedure	Persons responsible
Planning Assessment	Operations Manager
The assessor must ensure that the Policy and Procedure for Developing Assessment	Coordinator Teachers
Tools has been followed.	Assessors
Informing students of assessment requirements	Operations Manager Coordinator Teachers Assessors
Assessors in the first week of delivery of each unit or cluster must provide access to a unit	
plan which details the following:	
Learning Outcomes	
Assessment tasks	
Due dates	
Reasonable adjustment	
Assessors must include a cover sheet and instructions to students at the front of	
assessment tasks or an electronic equivalent on Student Web. Cover sheet and	
instructions to students must include:	

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Procedure





Persons

Procedure	responsible			
Assessment task name and number of how many tasks within the unit or cluster				
Assessment task type				
Time allowed and/or date for final submission				
Where the assessment will take place				
Equipment provided				
Individual or group work				
Support equipment allowed				
Context and conditions of assessment				
What to submit				
How to submit				
How to present assessment				
How many attempts at assessment are permitted				
Naming protocol for electronic files				
How the assessment judgement will be made				
Special Consideration procedure				
Assessment Review procedure				
Conducting Assessment	Assessors			
Where more than one person conducts assessment in a unit of competence then these				
assessors must meet on a regular basis for the purpose of assessment moderation where				
they will discuss and define assessment benchmarks.				
Assessors must review the assessment requirements, conditions, context and specific				
resource requirements detailed in the unit of competence and ensure that they gather				
evidence and assess under these conditions.				
Assessors must apply the principles of assessment and the rules of evidence when				
assessing students.				
Assessors must use appropriate communication and interpersonal skills to develop a				
professional relationship with the candidate that reflects sensitivity to individual				
differences and enables two-way feedback.				
Assessors must make decisions on reasonable adjustments with the candidate, based on				
the candidate's needs and characteristics.				
Assessors must access specialist support, if required, in accordance with the training and				
assessment strategy (TAS).				
Assessors must address any workplace health and safety (WHS) risk to a person or				
equipment immediately.				

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Procedure	Persons responsible
The assessor must make the assessment decision in line with agreed assessment	
procedures and according to the marking guide and if created, the rubric.	
The Assessor must record the assessment outcomes promptly and accurately.	
The assessor must complete and file assessment documentation as required by the	
Teaching area arrangements.	
Providing Student feedback	Assessors
Feedback and results must be provided to students within two weeks of assessment	
unless there are special circumstances and students are advised of these ahead of time.	
Feedback should be:	
• Timely	
Encouraging	
Offering suggestions for improvement	
Feedback must to acknowledge the progress students have made towards achieving the	
learning outcomes of a unit. Feedback gives students affirmation of what has been	
completed well and possible directions for future development.	
A rubric can be created to provide feedback to students with a brief, personalised	
comment to summarise. The rubric should be included in the marking guide.	
Quality Checks	Operations Manager
Step One of the validation process is completed prior to use.	Coordinator Teachers
Step Two of the validation process is conducted after the first cycle of assessment.	Validation Panel
List of actions to be taken for improvement are recorded and managed through the	
Continuous improvement register.	
Appropriate version control is managed and the filing of current or updated tools is	
managed to provide access to all assessors of the latest versions of the tools.	
Internal monitoring of validation and outcomes	Operations Manager
The Operations Manager monitors that actions from the validation are completed.	Manager, Educational Quality
The Quality Unit is responsible for undertaking internal audits to ensure Faculties are	,
validating assessments in accordance with this procedure and related work instructions.	
At the end of each academic year the Manager, Quality will update the BHIG/CAE training	
product validation schedule with new training products added to the BHIG/CAE's scope of	
registration.	

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7 Related Documents

Assessment Validation Policy - BHI and CAE

Training and Assessment Strategy (TAS)

Continuous improvement register

7.1 Documents

Term	Definition		
Assessment cover sheet	Document to be attached to each assessment in either hard copy or electronic format.		
Assessment development tool (ADT)	Document populated from the Unit of Competence and then used to support assessment design ensuring assessment design meets the requirements of the unit of competence.		
Developing assessment tool checklist	Companion Checklist to support assessors to take all aspects of assessment design into consideration.		
Continuous improvement register	Document used to track improvements and implementation of improvements arising from validation, industry feedback, student feedback or assessor feedback.		
Recognition of Prior Learning (RPL) Candidate guide template	Template used to develop Candidate RPL Guide		
Recognition of Prior Learning (RPL) Assessor guide template	Template used to develop Assessor RPL Guide		

7.2 Risk/Opportunity Assessment

Risk/Opportunity	Likelihood	Severity	Assessment Method (if applicable)	Mitigation
Assessment development tool not completed detailing the mapping against the Unit of Competence	Moderate	High	Audit	Clear Instructions issued, review of template and training available to all teachers at BHIG/CAE
Students not informed of assessment requirements	Moderate	High	Student complaint	Clear Instructions issued, review of template and training available to all teachers at BHIG/CAE
Students not informed of reasonable adjustment, special consideration and assessment decision appeals process	Moderate	High	Student complaint	Clear Instructions issued, review of template and training available to all teachers at BHIG/CAE
Validation not carried out correctly	Medium	Moderate	Audit	Clear Instructions issued, review of template and training available to all teachers at BHIG/CAE