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# Conducting VET Assessment Procedure –Version 2

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Authorised by: CEO



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## 1 Document Control

| Version | Date       | Amended by                                 | Changes Made  |
|---------|------------|--|---|
| 1       | 04/05/2016 | Manager, Quality                           | Initial draft   |
| 1.1     | 01/08/2017 | Manager, Teaching and Learning Enhancement | Update to new Policy and Procedure templates.<br>Inclusion of Definitions<br>Review and clarification of Assessment Procedure.<br>Integration of persons responsible for each step of procedure<br>Inclusion of Risk evaluation |
| 2       | 19/03/2018 | Manager, Teaching and Learning Enhancement | Incorporated Exec. Team feedback. Final document  |
|         | 8/04/2019  | Academic Quality Assurance Coordinator     | Minor administrative changes to remove reference to 'BHIG and 'CAE'   |

## 2 Purpose

To determine the principles which apply to conducting assessment in Vocational Education and Training.

## 3 Scope

Applies to Box Hill Institute in relation to conducting assessment in Vocational Education and Training.

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## 4 Responsibilities

Refer to 6. Procedure

## 5 Definitions

| Term                           | Definition  |
|--------------------------------|---|
| <b>Assessment Tool</b>         | Consists of Assessment tasks with instructions to students, Marking guides with instructions to assessors, instruments for recording assessment decisions, exemplars and information regarding recording, retention and storage of assessments. |
| <b>Assessment Method</b>       | The techniques used to gather different types of evidence for assessment.   |
| <b>Exemplar</b>                | Example of marked work which may have accompanying commentary.  |
| <b>Marking Guide</b>           | A guide containing the answers, rubrics, decision rules or guidelines to be used by an assessor to reach an assessment judgement.   |
| <b>Training Product</b>        | Means an AQF qualification, skill set, unit of competency and accredited short course.  |
| <b>Assessment System</b>       | An assessment system includes not only the actual materials used directly in conducting assessment, but also policies, procedures and other supporting documents that inform the way assessment is conducted.                                   |
| <b>Assessment Requirements</b> | Each unit of competency contains assessment requirements grouped into three areas: <ul style="list-style-type: none"> <li>• performance evidence</li> <li>• knowledge evidence</li> <li>• assessment conditions.</li> </ul>                     |

## 6 Procedure

| Procedure  | Persons responsible  |
|--|--|
| <p><b>Planning Assessment</b></p> <p>The assessor must ensure that the Policy and Procedure for Developing Assessment Tools has been followed.</p>   | <p>Operations Manager<br/>Coordinator<br/>Teachers<br/>Assessors</p> |
| <p><b>Informing students of assessment requirements</b></p> <p>Assessors in the first week of delivery of each unit or cluster must provide access to a unit plan which details the following:</p> <ul style="list-style-type: none"> <li>• Learning Outcomes</li> </ul> | <p>Operations Manager<br/>Coordinator<br/>Teachers<br/>Assessors</p> |

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| <b>Procedure</b>  | <b>Persons responsible</b> |
|---|----------------------------|
| <ul style="list-style-type: none"><li>• Assessment tasks</li><li>• Due dates</li><li>• Reasonable adjustment</li></ul> <p>Assessors must include a cover sheet and instructions to students at the front of assessment tasks or an electronic equivalent on Student Web. Cover sheet and instructions to students must include:</p> <ul style="list-style-type: none"><li>• Assessment task name and number of how many tasks within the unit or cluster</li><li>• Assessment task type</li><li>• Time allowed and/or date for final submission</li><li>• Where the assessment will take place</li><li>• Equipment provided</li><li>• Individual or group work</li><li>• Support equipment allowed</li><li>• Context and conditions of assessment</li><li>• What to submit</li><li>• How to submit</li><li>• How to present assessment</li><li>• How many attempts at assessment are permitted</li><li>• Naming protocol for electronic files</li><li>• How the assessment judgement will be made</li><li>• Special Consideration procedure</li><li>• Assessment Review procedure</li></ul> |                            |
| <p><b>Conducting Assessment</b></p> <p>Where more than one person conducts assessment in a unit of competence then these assessors must meet on a regular basis for the purpose of assessment moderation where they will discuss and define assessment benchmarks.</p> <p>Assessors must review the assessment requirements, conditions, context and specific resource requirements detailed in the unit of competence and ensure that they gather evidence and assess under these conditions.</p> <p>Assessors must apply the principles of assessment and the rules of evidence when assessing students.</p>  | Assessors                  |

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| <b>Procedure</b>   | <b>Persons responsible</b>  |
|--|---|
| <p>Assessors must use appropriate communication and interpersonal skills to develop a professional relationship with the candidate that reflects sensitivity to individual differences and enables two-way feedback.</p> <p>Assessors must make decisions on reasonable adjustments with the candidate, based on the candidate's needs and characteristics.</p> <p>Assessors must access specialist support, if required, in accordance with the training and assessment strategy (TAS).</p> <p>Assessors must address any workplace health and safety (WHS) risk to a person or equipment immediately.</p> <p>The assessor must make the assessment decision in line with agreed assessment procedures and according to the marking guide and if created, the rubric.</p> <p>The Assessor must record the assessment outcomes promptly and accurately.</p> <p>The assessor must complete and file assessment documentation as required by the Teaching area arrangements.</p> |   |
| <p><b>Providing Student feedback</b></p> <p>Feedback and results must be provided to students within two weeks of assessment unless there are special circumstances and students are advised of these ahead of time.</p> <p>Feedback should be:</p> <ul style="list-style-type: none"> <li>• Timely</li> <li>• Encouraging</li> <li>• Offering suggestions for improvement</li> </ul> <p>Feedback must to acknowledge the progress students have made towards achieving the learning outcomes of a unit. Feedback gives students affirmation of what has been completed well and possible directions for future development.</p> <p>A rubric can be created to provide feedback to students with a brief, personalised comment to summarise. The rubric should be included in the marking guide.</p>   | Assessors   |
| <p><b>Quality Checks</b></p> <p>Step One of the validation process is completed prior to use.</p> <p>Step Two of the validation process is conducted after the first cycle of assessment.</p> <p>List of actions to be taken for improvement are recorded and managed through the Continuous improvement register.</p> <p>Appropriate version control is managed and the filing of current or updated tools is managed to provide access to all assessors of the latest versions of the tools.</p>   | Operations Manager<br>Coordinator<br>Teachers<br>Validation Panel |

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| Procedure   | Persons responsible  |
|---|--|
| <p><b>Internal monitoring of validation and outcomes</b></p> <p>The Operations Manager monitors that actions from the validation are completed.</p> <p>The Quality Unit is responsible for undertaking internal audits to ensure Faculties are validating assessments in accordance with this procedure and related work instructions.</p> <p>At the end of each academic year the Manager, Quality will update the BHI training product validation schedule with new training products added to BHI's scope of registration.</p> | <p>Operations Manager<br/>Manager,<br/>Educational Quality</p> |

## 7 Related Documents

Assessment Validation Policy – BHI

Training and Assessment Strategy (TAS)

Continuous improvement register

### 7.1 Documents

| Term   | Definition   |
|--|--|
| Assessment cover sheet                                       | Document to be attached to each assessment in either hard copy or electronic format.   |
| Assessment development tool (ADT)                            | Document populated from the Unit of Competence and then used to support assessment design ensuring assessment design meets the requirements of the unit of competence. |
| Developing assessment tool checklist                         | Companion Checklist to support assessors to take all aspects of assessment design into consideration.  |
| Continuous improvement register                              | Document used to track improvements and implementation of improvements arising from validation, industry feedback, student feedback or assessor feedback.              |
| Recognition of Prior Learning (RPL) Candidate guide template | Template used to develop Candidate RPL Guide   |
| Recognition of Prior Learning (RPL) Assessor guide template  | Template used to develop Assessor RPL Guide  |

### 7.2 Risk/Opportunity Assessment

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| <b>Risk/Opportunity</b>   | <b>Likelihood</b> | <b>Severity</b> | <b>Assessment Method<br/>(if applicable)</b> | <b>Mitigation</b>   |
|---|-------------------|-----------------|--|---|
| Assessment development tool not completed detailing the mapping against the Unit of Competence                | Moderate          | High            | Audit  | Clear Instructions issued, review of template and training available to all teachers at BHI |
| Students not informed of assessment requirements  | Moderate          | High            | Student complaint                            | Clear Instructions issued, review of template and training available to all teachers at BHI |
| Students not informed of reasonable adjustment, special consideration and assessment decision appeals process | Moderate          | High            | Student complaint                            | Clear Instructions issued, review of template and training available to all teachers at BHI |
| Validation not carried out correctly  | Medium            | Moderate        | Audit  | Clear Instructions issued, review of template and training available to all teachers at BHI |

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