



NZX Limited - Monthly Shareholder Metrics

January 2018

These Shareholder Metrics represent the key features of NZX's operations in each of its core businesses. The Metrics focus on what drives the revenue performance of NZX, so as to give a consistent and transparent comparison across time periods for each business line.

Markets

NZX Listed Issuers				Jan 2018		Change YoY	
Total Equity Securities	157	-7.1%					
Total Listed Debt Securities	113	5.6%					
Total Other Securities	24	-11.1%					
Total Listed Securities	294	-3.0%					
Total NZX Main Board Issuers	157	-5.4%					
Total NZAX Issuers	16	-11.1%					
Total NXT Issuers	3	-25.0%					
Total NZDX Issuers	45	4.7%					

Capital Raising			Jan 2018		YTD	
New Capital Listed						
IPOs and Compliance Listings	\$0.00 b	\$0.00 b				
New Debt Listings	\$0.00 b	\$0.00 b				

Secondary Capital Raisings		
Total Capital Raised By All Issuers	\$9 m	\$9 m
Total Equity Raised - Primary Issuers	\$9 m	\$9 m
Total Equity Raised - Dual & Secondary Issuers	\$0 m	\$0 m
Total Debt Raised	\$0 m	\$0 m
Number of Capital Raising Events	7	7
Capital Raised < \$0.5m	1	1
Capital Raised > \$0.5m < \$10m	6	6
Capital Raised > \$10m < \$100m	0	0
Capital Raised > \$100m	0	0

Market Capitalisation			
	Jan 2018	Change YoY	% of GDP
All Equity	\$135.5 b	15.9%	48.7%
All Debt	\$26.4 b	2.7%	9.5%

NZX Indices Performance			Jan 2018		Change YoY	
S&P/NZX 50 Index	8,442	19.7%				
S&P/NZX 50 Portfolio Index	5,247	21.4%				
S&P/NZX A-Grade Bond Index	5,161	5.5%				
S&P/NZX All Swap Index	202	4.7%				

Cash Market Trading			Jan 2018		Change YoY	
Total Trades	215,002	93.8%				
Daily Average Trades	10,238	84.6%				
Total Trades < \$50k	207,868	98.4%				
Total Value Traded	\$2.7 b	23.4%				
Daily Average Value Traded	\$130 m	17.5%				

Total Equity Transactions		
Total Trades	212,909	95.9%
Value Traded	\$2.7 b	24.9%

Total Debt Transactions		
Total Trades	2,093	-7.7%
Value Traded	\$78 m	-11.6%

Cumulative Numbers - YTD		
Number of Trades	215,002	93.8%
Total Value Traded	\$2.7 b	23.4%

Clearing House / Depository			Jan 2018		Change YoY	
Gross Value of Cleared Trades over Month	\$2.8 b	23.6%				
Collateral Held At Month End	\$43.1 m	-26.2%				
Depository transactions	1,701	7.8%				
Assets under custody in depository	\$493 m	33.0%				
Value of stock lent over month	\$361 m	-8.1%				

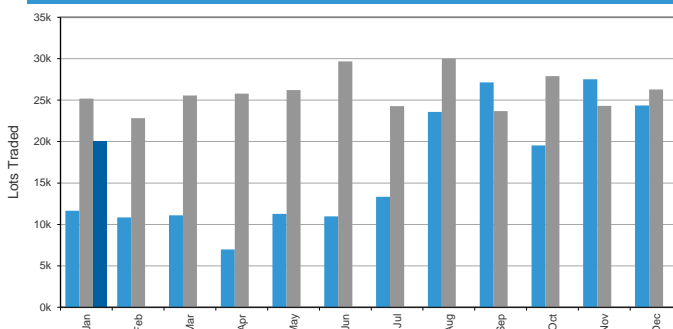
Wholesale Data			Jan 2018		Change YoY	
Terminal Numbers - Professional*	6,073	-5.0%				
Terminal Numbers - Retail*	1,087	-4.6%				
Licences - Value > \$20k pa	34	9.7%				
Licences - Value < \$20k pa	64	3.2%				

* 3 month average

Direct Data Products			Jan 2018		Change YoY	
Proprietary Security Subscription Products	406	2.8%				
- Products ≥ \$3,000 p.a.	159	5.3%				
- Products < \$3,000 p.a.	247	1.2%				

Derivatives	Jan 2018	Change YoY
Futures - Total Lots Traded	16,540	-29.7%
Options - Total Lots Traded	3,455	108.1%
Open Interest	46,676	-9.6%

Derivatives Total Lots Traded 2016 / 2017 / 2018



Energy Contracts

Jan 2018

Operating / Technology Contracts	17
- Contracts > = \$500k p.a.	4
- Contracts < \$500k p.a.	13

Funds Services

SuperLife	Jan 2018	Change YoY
Funds Under Management - KiwiSaver	\$761 m	25.1%
Funds Under Management - Other	\$1,271 m	18.3%
Total	\$2,032 m	20.7%

Smartshares	Jan 2018	Change YoY
Funds Under Management - External	\$716 m	43.7%
Funds Under Management - SuperLife funds	\$1,452 m	19.0%
Total	\$2,168 m	26.2%
Units on Issue	886 m	12.3%

NZX Wealth Technologies	Jan 2018	Change YoY
Funds Under Administration	\$1,167 m	-9.2%

Renamed from Apteryx

Agri

Direct Data Products	Jan 2018	Change YoY
Subscriptions - NZ Agri Data Products	3,617	48.8%
Subscription - Australian Agri Data Products	1,516	2.0%
Total Paid Advertising Page Equivalents*	86	17.8%
Total Paid Advertising Page Equivalents YTD*	86	17.8%

* Total paid advertising page equivalents relates to Farmers Weekly only.

Notes

- All figures are measured at the end of the last trading day of the month unless otherwise stated. Change refers to the change since the same period last year unless otherwise stated i.e. Change YoY is change versus the same period last year.
- All Equity Market Capitalisation includes all securities quoted on the NZX Main Board, NZX Alternative Market and NXT Market.
- Market Capitalisation for the NZX Debt market is the total nominal (face) value of all quoted securities excluding New Zealand Government Stock.
- NZX Limited wishes to remind readers that the above operational statistics are based on the Group's internal records, which are unaudited. Differences may arise between this data and that disclosed in subsequent audited financial statements.

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