



# NZX Limited - Monthly Shareholder Metrics

## February 2018

These Shareholder Metrics represent the key features of NZX's operations in each of its core businesses. The Metrics focus on what drives the revenue performance of NZX, so as to give a consistent and transparent comparison across time periods for each business line.

### Markets

NZX Listed Issuers			Feb 2018	Change YoY
Total Equity Securities	157	-6.5%		
Total Listed Debt Securities	113	3.7%		
Total Other Securities	24	-11.1%		
Total Listed Securities	294	-3.3%		
Total NZX Main Board Issuers	156	-5.5%		
Total NZAX Issuers	16	-11.1%		
Total NXT Issuers	4	0.0%		
Total NZDX Issuers	45	4.7%		

Capital Raising			Feb 2018	YTD
<b>New Capital Listed</b>				
IPOs and Compliance Listings	\$0.01 b	\$0.01 b		
New Debt Listings	\$0.00 b	\$0.00 b		
<b>Secondary Capital Raisings</b>				
Total Capital Raised By All Issuers	\$151 m	\$160 m		
Total Equity Raised - Primary Issuers	\$3 m	\$12 m		
Total Equity Raised - Dual & Secondary Issuers	\$27 m	\$27 m		
Total Debt Raised	\$121 m	\$121 m		
Number of Capital Raising Events	9	16		
Capital Raised < \$0.5m	2	3		
Capital Raised > \$0.5m < \$10m	3	9		
Capital Raised > \$10m < \$100m	4	4		
Capital Raised > \$100m	0	0		

Market Capitalisation			
	Feb 2018	Change YoY	% of GDP
All Equity	\$129.2 b	8.9%	46.4%
All Debt	\$26.5 b	0.9%	9.5%

NZX Indices Performance			Feb 2018	Change YoY
S&P/NZX 50 Index	8,374	16.8%		
S&P/NZX 50 Portfolio Index	5,147	16.8%		
S&P/NZX A-Grade Bond Index	5,175	5.0%		
S&P/NZX All Swap Index	202	4.1%		

Cash Market Trading			Feb 2018	Change YoY
Total Trades	227,153	65.5%		
Daily Average Trades	11,955	65.5%		
Total Trades < \$50k	219,426	69.0%		
Total Value Traded	\$3.2 b	12.3%		
Daily Average Value Traded	\$167 m	12.3%		

Total Equity Transactions		
Total Trades	224,827	67.4%
Value Traded	\$3.1 b	13.3%

Total Debt Transactions		
Total Trades	2,326	-21.3%
Value Traded	\$112 m	-8.3%

Cumulative Numbers - YTD		
Number of Trades	442,155	78.1%
Total Value Traded	\$5.9 b	17.2%

Clearing House / Depository			Feb 2018	Change YoY
Gross Value of Cleared Trades over Month	\$3.2 b	12.4%		
Collateral Held At Month End	\$45.7 m	-10.5%		
Depository transactions	2,206	17.8%		
Assets under custody in depository	\$569 m	50.4%		
Value of stock lent over month	\$400 m	8.8%		

Wholesale Data			Feb 2018	Change YoY
Terminal Numbers - Professional*	6,059	-4.5%		
Terminal Numbers - Retail*	1,196	6.1%		
Licences - Value > \$20k pa	34	9.7%		
Licences - Value < \$20k pa	64	3.2%		

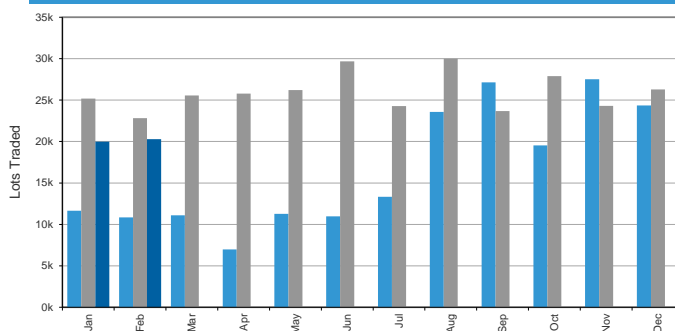
\* 3 month average

Direct Data Products			Feb 2018	Change YoY
Proprietary Security Subscription Products	405	3.1%		
- Products ≥ \$3,000 p.a.	158	5.3%		
- Products < \$3,000 p.a.	247	1.6%		

Derivatives	Feb 2018	Change YoY
Futures - Total Lots Traded	17,432	-14.9%
Options - Total Lots Traded	2,850	21.8%
Open Interest	41,531	-14.8%

Derivatives Total Lots Traded 2016 / 2017 / 2018



Energy Contracts	Feb 2018
Operating / Technology Contracts	16
- Contracts > = \$500k p.a.	4
- Contracts < \$500k p.a.	12

## Funds Services

SuperLife	Feb 2018	Change YoY
Funds Under Management - KiwiSaver	\$755 m	21.5%
Funds Under Management - Other	\$1,261 m	15.5%
Total	\$2,016 m	17.7%

Smartshares	Feb 2018	Change YoY
Funds Under Management - External	\$713 m	37.9%
Funds Under Management - SuperLife funds	\$1,523 m	21.2%
Total	\$2,236 m	26.1%
Units on Issue	922 m	15.8%

NZX Wealth Technologies	Feb 2018	Change YoY
Funds Under Administration	\$1,150 m	-10.7%

Renamed from Aptyrx

## Agri

Direct Data Products	Feb 2018	Change YoY
Subscriptions - NZ Agri Data Products	3,634	46.2%
Subscription - Australian Agri Data Products	1,513	0.6%
Total Paid Advertising Page Equivalents*	105	-6.3%
Total Paid Advertising Page Equivalents YTD*	191	3.2%

\* Total paid advertising page equivalents relates to Farmers Weekly only.

## Notes

- All figures are measured at the end of the last trading day of the month unless otherwise stated. Change refers to the change since the same period last year unless otherwise stated i.e. Change YoY is change versus the same period last year.
- All Equity Market Capitalisation includes all securities quoted on the NZX Main Board, NZX Alternative Market and NXT Market.
- Market Capitalisation for the NZX Debt market is the total nominal (face) value of all quoted securities excluding New Zealand Government Stock.
- NZX Limited wishes to remind readers that the above operational statistics are based on the Group's internal records, which are unaudited. Differences may arise between this data and that disclosed in subsequent audited financial statements.

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