



(07) 3847 8119  
Level 1, 1 Miles Platting Road, Eight Mile Plains QLD 4113  
PO Box 4792, Eight Mile Plains QLD 4113  
fbaa.com.au

## REQUEST FOR MEMBER REFERENCE

"The Requesting Party"	
Requesting Person	
Requesting Party	
Email Address:	
Contact Number:	

I, being the abovementioned Requesting Party, request that the Finance Brokers Association of Australia Limited (**FBAA**) consider its records of membership and provide a reference check of the following Broker:

"The Broker"	
Name of Broker:	
FBAA Membership Number:	

I request that the FBAA confirm the following matters:

- (a) Whether the Broker is a member of the FBAA;
- (b) Whether any formal complaints have been made to the FBAA against the Broker;
- (c) The history of any complaints made against the Broker including;
  - (i) When each complaint was made;
  - (ii) Whether each complaint was resolved, rejected, withdrawn or otherwise abandoned;
  - (iii) Whether any complaint resulted in the termination of my membership;
  - (iv) Whether any complaint resulted in the Disciplinary Tribunal of the FBAA being engaged; and
  - (v) Any orders made by the Disciplinary Tribunal and any actions undertaken by the FBAA as a consequence of those orders.

I warrant, on behalf of the Requesting Party, that I have notified the Broker of my intention to seek this reference and have sought the consent of the Broker. A copy of that consent is **attached** to this request.

I warrant and agree on behalf of the Requesting Party to keep the reference provided by the FBAA confidential and will not disclose the substance of the reference to any other entity without first seeking the consent of the FBAA and the Broker.

I acknowledge and agree, on behalf of the Requesting Party that the FBAA may not necessarily disclose all matters that are relevant to engaging a broker or authorising the broker to act for the Requesting Party that those independent investigations and searches should be conducted by the Requesting Party and that neither the FBAA nor any of its officers, employees or agents accept any liability to persons or businesses in respect of the provision of information from FBAA pursuant to this request.

For the purpose of section 10 of the *Electronic Transactions Act 1999* (Cth) please take this email, which is the email I use for the purpose of the Requesting Party's business as a signature of my consent to the above matters.